# Landscape of Digital Enablement of Community Health Workers

Chidozie Declan Iwu, Abigail Mulugeta, Erin Ingle, Akhtar Badshah October 03, 2023



# **AGENDA**

O1 Project overview

02 Approach

03 Findings

04 Synthesis

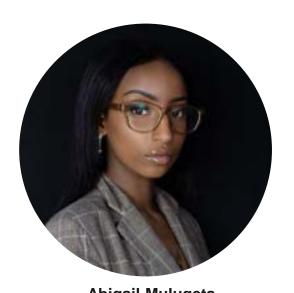
Conclusions and next steps



# **PROJECT TEAM**



Chidozie Declan Iwu, MPH, MSc
PhD Student, Epidemiology
Project Manager



Abigail Mulugeta

MPH Student, Global Health

Research Assistant



Erin Ingle, MPH
Global Health
Research Assistant



Akhtar Badshah, PhD, MS
Public Policy, Business
Faculty Lead



# **START OVERVIEW**



Leverages leading content expertise from across the University of Washington



Provides high quality research and analytic support to the Bill & Melinda Gates Foundation and global and public health decision-makers



Provides structured mentorship and training to University of Washington graduate research assistants





# **BACKGROUND**

This project seeks to explore the potential of digital enablement to alleviate barriers to productivity of community health workers including:

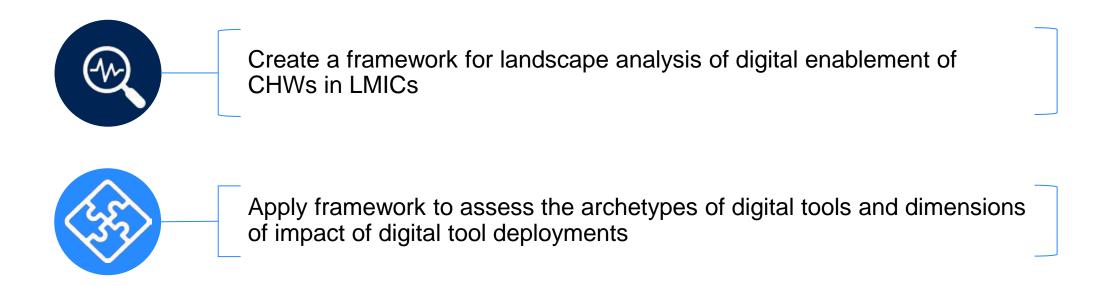
- Long lead times for initial contact with the health system
- Lack of digitized systems for care plans and patient information
- Difficulties in tracking patient journeys





# **OBJECTIVES**

To enhance understanding of the existing landscape of digital-enabled CHWs deployments and the accompanying evidence base that exists on its potential for impact by:







# **SCOPING**

#### Research Questions Used to Assess Digital Tool Enablement of CHWs

- 1. What are the settings and prominent geographic areas for implementation of digital tool enablement?
- 2. What are the **digital tool characteristics**?
- 3. Which health programs and/or disease do these interventions focus on?
- 4. What are the different **dimensions of impact** or outcome of digital tool enablement?
- 5. What are the facilitators, barriers and gaps to digital tool enablement in CHWs?



# PROPOSED DELIVERABLES

1

Methodology on the research process and framework with which evidence was documented.

2

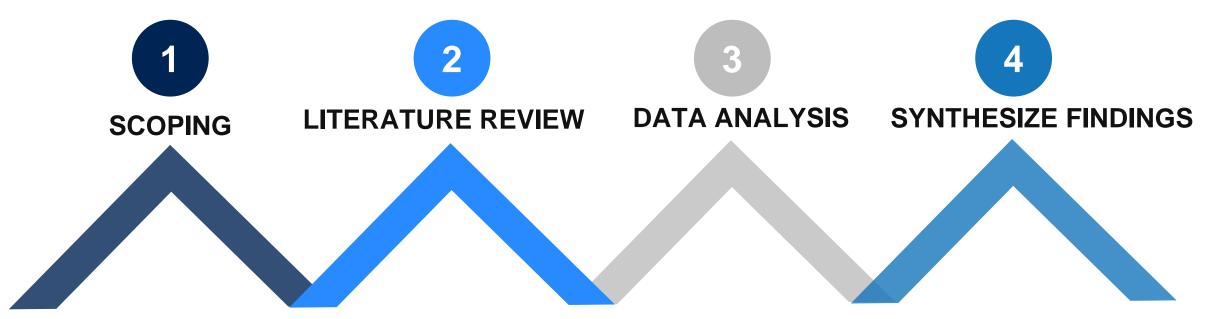
#### PowerPoint slide deck outlining:

- 1. Landscape summary of CHW digital deployments by important categories (geography, tool archetypes, health programs covered, quality of evidence, maturity, facilitators and barriers).
- 2. Synthesis of the existing landscape of evidence of impact of these deployments, with gaps identified.





# **PROJECT PLAN**



- In-take call
- Review research request
- Facilitate scoping meeting
- Finalize research questions and geographies of interest
- Desk research
- A rapid review approach using the Participant, Concept, Context (PCC) framework
- Analyze retrieved data based on the research questions
- LMIC regional level landscape analysis

- Synthesize findings using agreed framework
- Share slide deck of landscape findings with the clients



# LITERATURE REVIEW

#### Desk Research and Data Sources



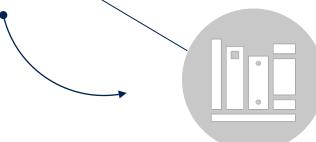
#### Rapid review of the literature

Searched PubMed/MEDLINE with search strings (Appendix)



#### Inclusion criteria

- Publications in English language
- Recent publications between 2013-2023
- Programs that involve CHWs
- Studies based in LMICs

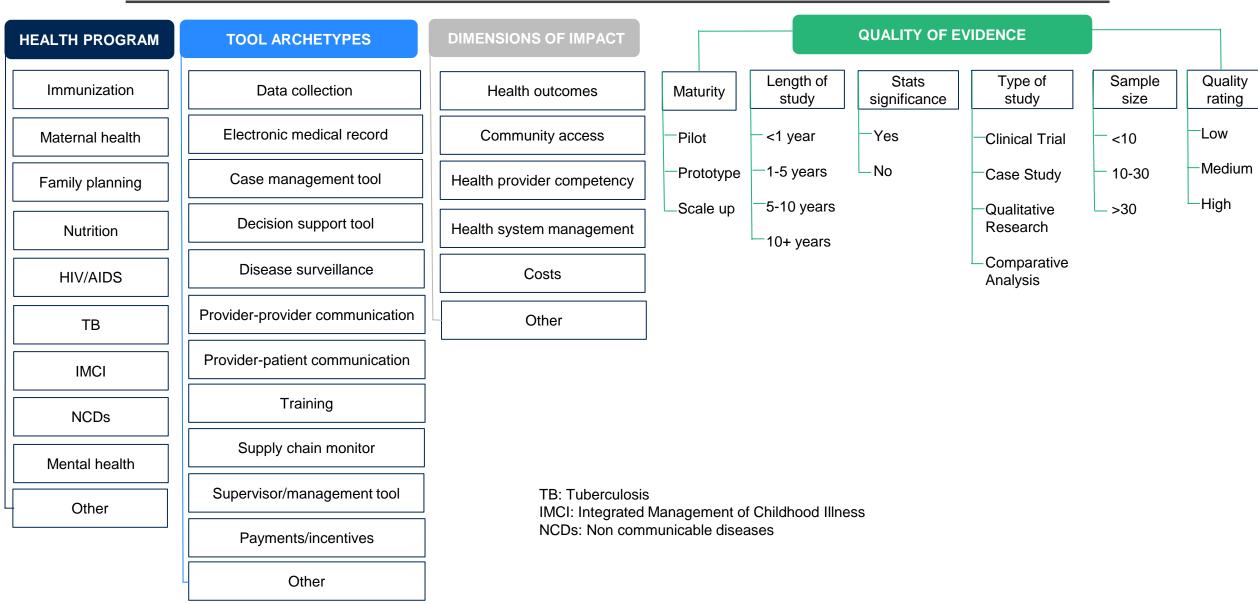


#### **Exclusion criteria**

- Publications not in English Language
- Publications before 2013.
- Programs that do not involve CHWs
- Publications based in High income countries



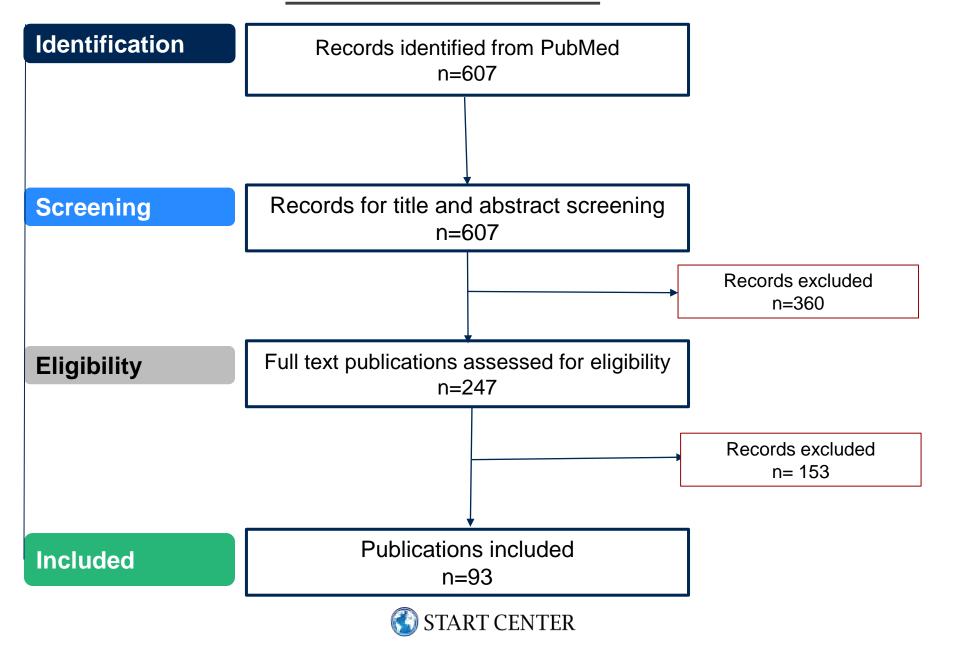
### FRAMEWORK FOR LANDSCAPE ANALYSIS



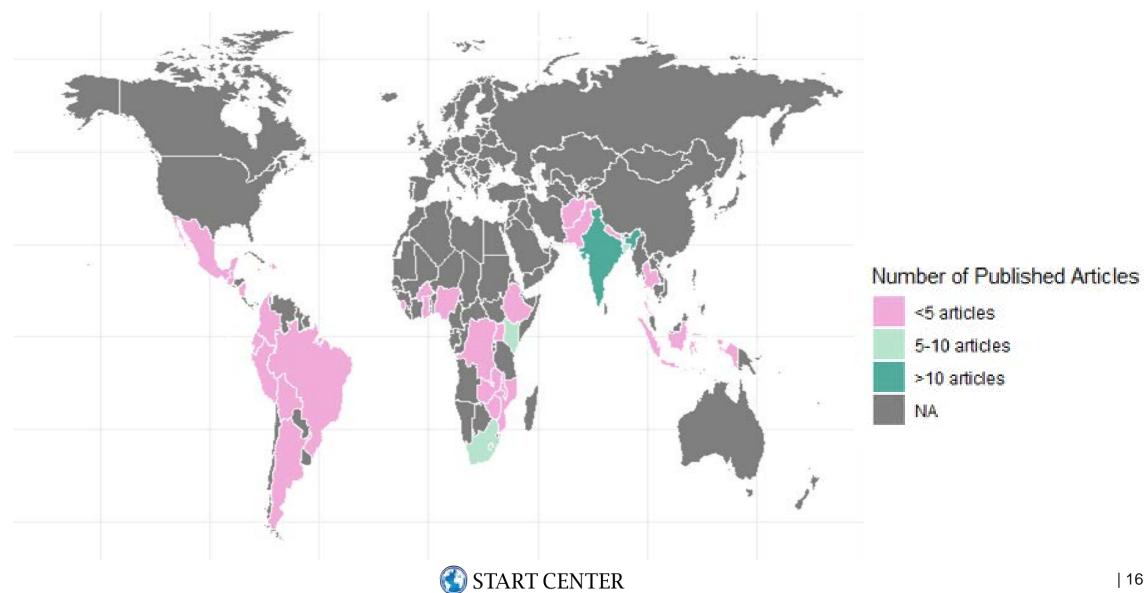




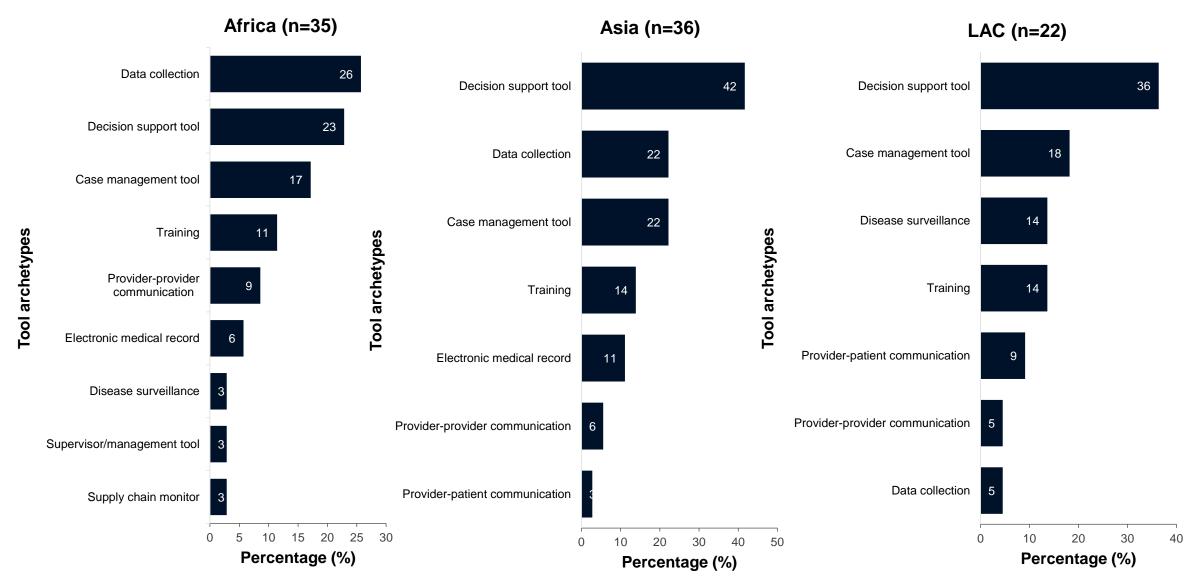
# **DATA FLOW**



## **GEOGRAPHICAL DISTRIBUTION OF PUBLICATIONS**

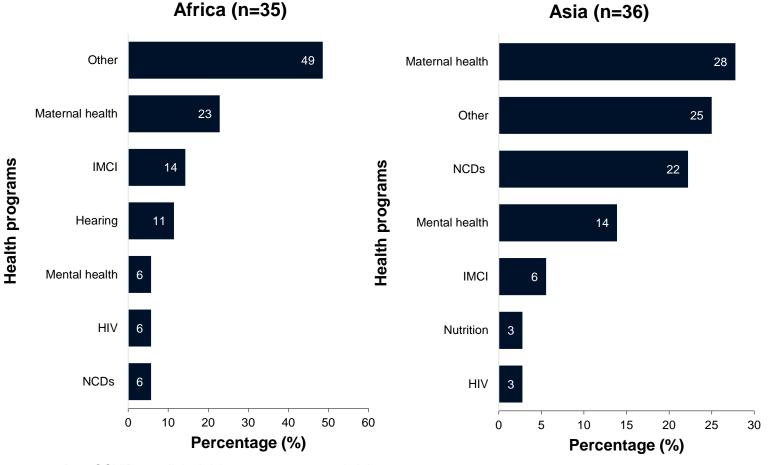


### DISTRIBUTION OF TOOL ARCHETYPES





### **DISTRIBUTION OF HEALTH PROGRAMS**

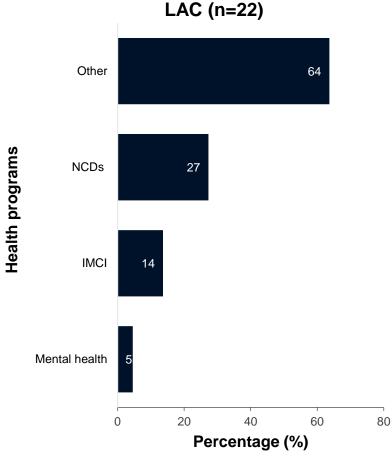


**Other:** COVID-19, clinical risk assessment, sexual violence, palliative care, Ebola preparedness, alcoholism, Ear, Nose and Throat (ENT), primary health care

**Other:** primary health care, medical advice on blood on-call services, MNCH, palliative, geriatric health

\*IMCI: Integrated Management of Childhood Illness

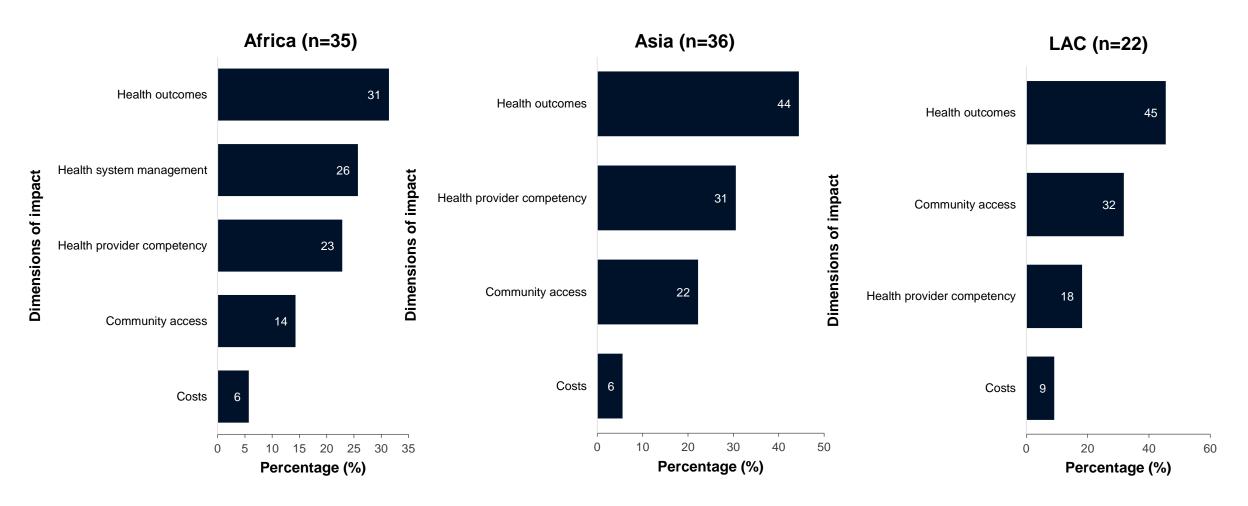
\*LAC: Latin America and the Caribbean



**Other:** Screening for hearing loss, exclusive breast feeding, Cutaneous leishmaniasis, HPV, continuing health education for primary care workers, bone health



### DISTRIBUTION OF IMPACT DIMENSIONS



\*LAC: Latin America and the Caribbean

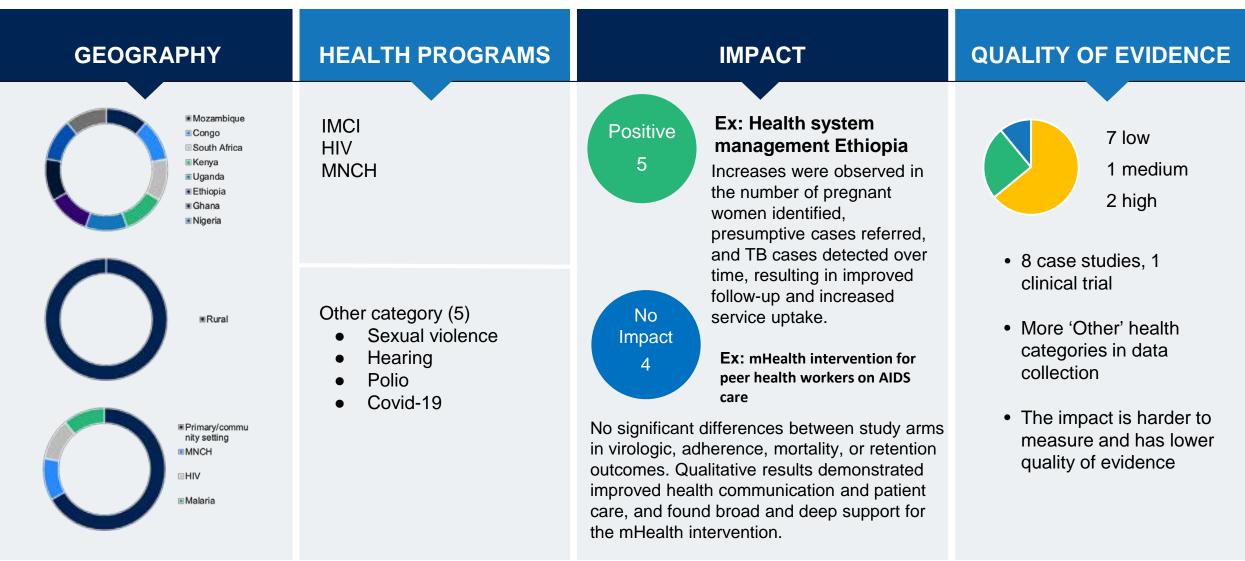






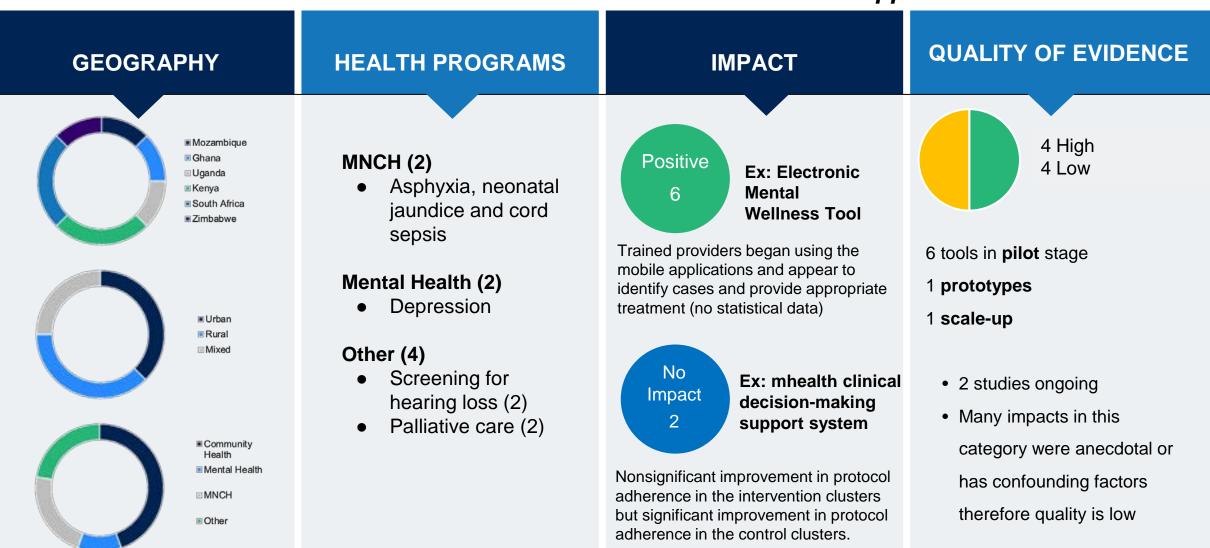
### **AFRICA: DATA COLLECTION TOOLS**

9 out of 35 articles in Africa focused on Data Collection



### **AFRICA: DECISION SUPPORT TOOLS**

8 out of 35 articles in Africa focused on Decision Support





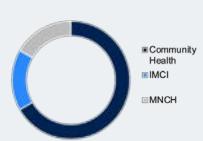
### **AFRICA: CASE MANAGEMENT TOOLS**

6 out of 35 articles in Africa focused on Case Management

#### **GEOGRAPHY**

# ■ Burkina Faso ■ South Africa □ Uganda ■ Ghana ■ Zambia ■ Ethiopia





**HEALTH PROGRAMS** 

# Integrated Management of Childhood Illness (IMCI)

 Pneumonia, diarrhoea, and malaria

#### **Maternal Health**

#### Other

 Hearing Screening among people living with HIV

#### **IMPACT**

Positive 4

Ex: The Last Ten Kilometers 2020 Project (L10K 2020) Ethiopia

It improved the timely identification and registration of pregnant mothers.

Adherence to treatment protocols also increased in all domains across the pregnancy continuum of care.

No Impact

Ex: mHealth for ICMI of children in Zambia

18.0% improvement in supportive supervision and 21.0% increase in appropriate treatment for pneumonia; these changes were not statistically significant.

#### **QUALITY OF EVIDENCE**



1 tool in **pilot** stage

3 prototypes

2 scale-up

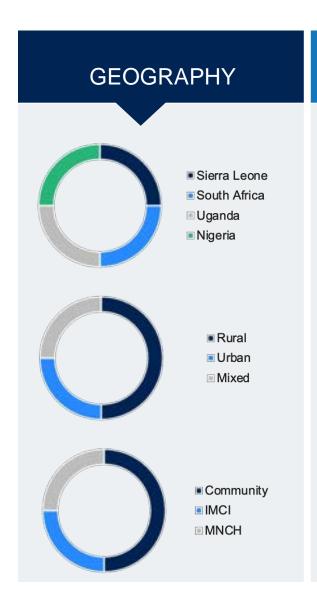
The mature tools were DHIS2
 platform in Zambia and The Last
 Ten Kilometers 2020 Project
 (L10K 2020) in Ethiopia which are
 both integrated with MOH

• All had large sample sizes



## **AFRICA: TRAINING TOOLS**

4 out of 35 articles in Africa focused on Training



#### **HEALTH PROGRAMS**

Integrated Management of Childhood Illness (IMCI) <5YO

#### HIV

Delivering ART counseling

**Ebola Preparedness** 

#### **IMPACT**

Positive Competency- MNCH program

FHWs achieved a mean pretest score of 51% (95% CI 48%-54%) and mean posttest score of 69% (95% CI 66%-72%)

No Impact

Ex: Health Provider Competency

In training CWs to identify and treat pneumonia there was no statistically significant difference in the improvement between groups (t = 1.15, p = 0.254)

#### QUALITY OF EVIDENCE



- ¾ tools in pilot stage and
  ¼ are prototypes.
- All sample sizes over 30
- All case studies with statistical analysis



### **AFRICA: OTHER TOOLS**

# Provider- Provider Communication

# Electronic Medical Record

#### Disease Surveillance

# Supervisor/ Management Tools

# Supply Chain Monitor



Positive

Positive

Positive

# Positive

#### Health Systems Management

mHealth intervention improved the documentation of pregnancy outcomes in both the treatment (OR 1.31, 95% CI: 1.10-1.55, p<0.01) and control (OR 1.46, 95% CI: 1.11-1.91, p = 0.01) groups relative to the baseline period, despite differences in SMS content between groups.

#### **Health Outcomes**

 Qualitative feedback from the CHWs indicated that the AFYACHAT mHealth tool was simple to learn, easy to use in the field, provided timely responses (CVD risk stratification), and was well accepted by the target population.

#### **Health Outcomes**

 Our modeling (CHWs equipped with mobile phone combined with improved treatment rates) would increase the number of deaths averted from 15,000 to 110,000, compared to standard care.

### 🛐 START CENTER

#### Health Systems Management

 Provider-facing technology shows promise in supporting taskshifting models that can expand alcohol intervention services and increase access to care in low- and middle-income countries.

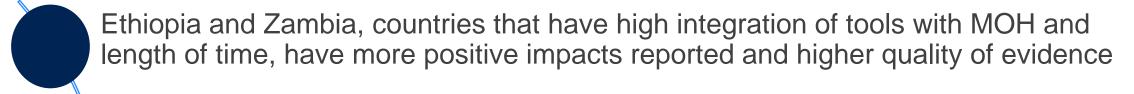
#### Health Systems Management

 cStock (an mHealth technology), combined with Enhance Management worked better at making sure medical supplies were available and the supply chain ran smoothly.

# **AFRICA: FACILITATORS, BARRIERS AND GAPS**

FACILITATORS	BARRIERS	GAPS
<ul> <li>Background Research</li> <li>Stakeholder Involvement (including governments)</li> <li>Leveraging Existing Frameworks</li> <li>Integration</li> <li>Routine technical support and troubleshooting</li> <li>Backup solar energy or alternate manual documenting in case of power issues</li> <li>Standardized data collection tools, reliable health surveillance networks, and smart diagnostic algorithms, real-time data transfer and improved communication channels</li> <li>Ease of Use</li> <li>Training Sessions</li> </ul>	<ul> <li>Using personal phones</li> <li>Wireless data availability, coverage, and network access</li> <li>Inadequate Technological Competence</li> <li>Poor Power Supply</li> <li>Product Design Challenges</li> <li>Lack of training on new digital tools</li> <li>Weak technical support</li> <li>Internet connectivity</li> <li>Health system readiness for training CHWs</li> <li>Digital divide</li> </ul>	<ul> <li>Most studies have been short term</li> <li>Accounting for concurrent tools</li> <li>Further opportunity for research is to compare who is conducting these studies (universities, NGOs, MOHs) to compare impact</li> </ul>
		I

# **AFRICA: KEY FINDINGS**



Decision support and Data collection tools quality of evidence is low and mostly anecdotal

In some case studies, there were other mHealth tools being tested at the same time that may confound results

Impact on health outcomes would likely need longer studies

Most tools in pilot stage

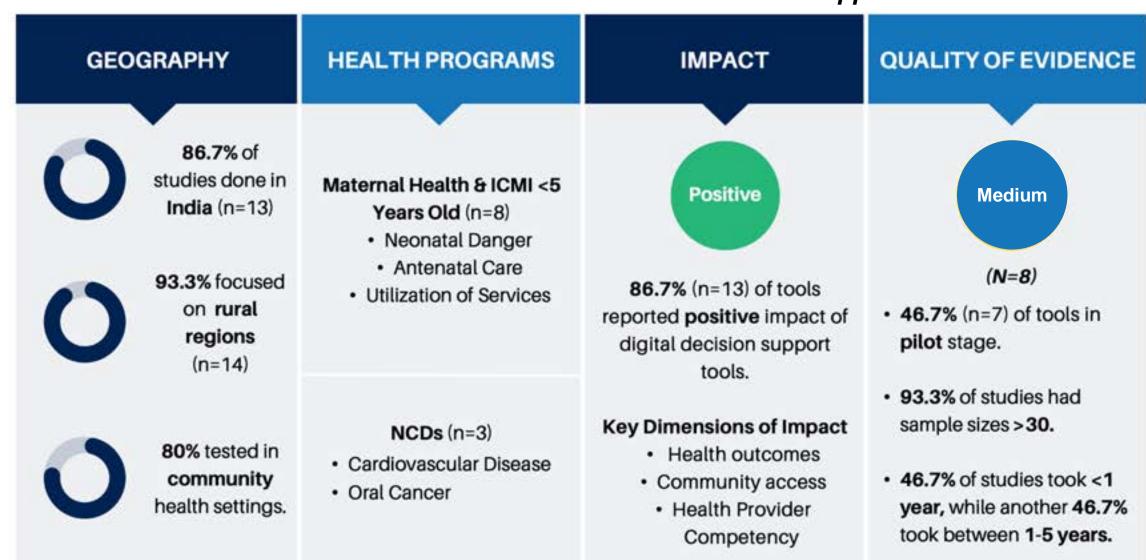






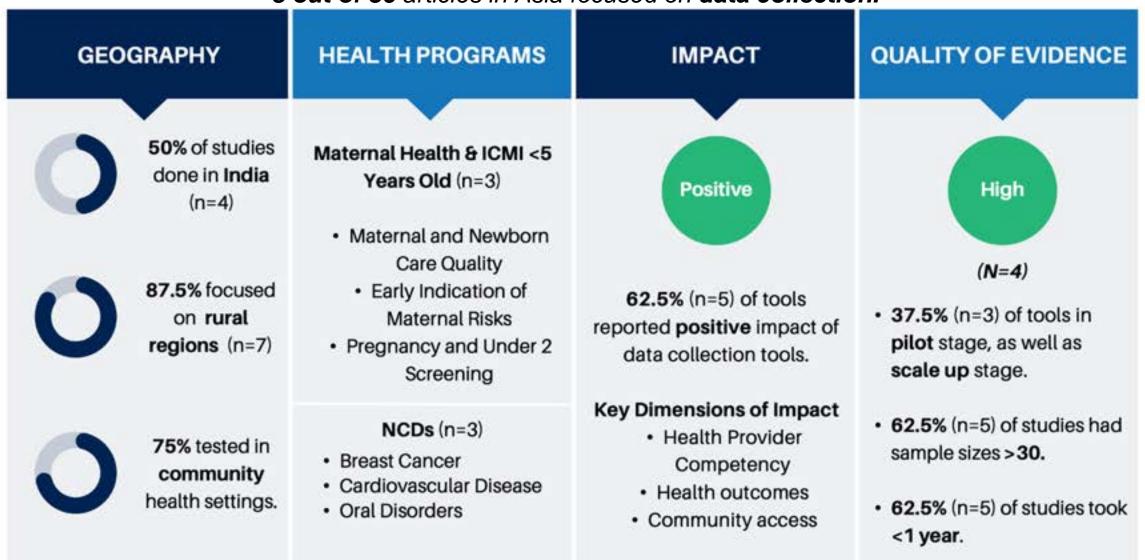
### **ASIA: DECISION SUPPORT TOOLS**

15 out of 36 articles in Asia focused on decision support.



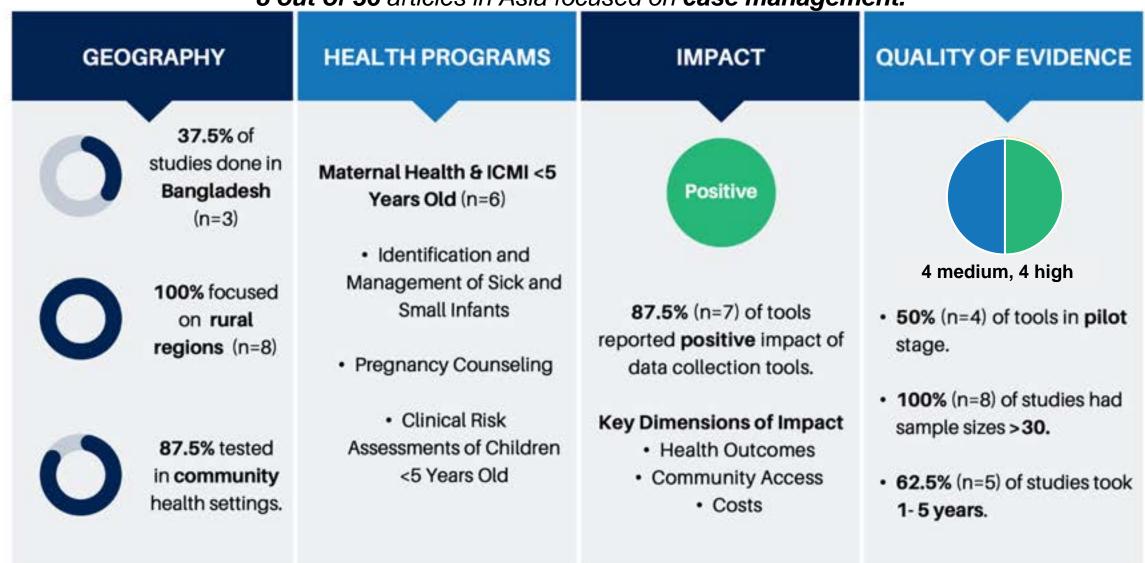
### **ASIA: DATA COLLECTION TOOLS**

8 out of 36 articles in Asia focused on data collection.



## **ASIA: CASE MANAGEMENT TOOLS**

8 out of 36 articles in Asia focused on case management.



# **ASIA: TRAINING TOOLS**

5 out of 36 articles in Asia focused on training.

GEOGRAPHY	HEALTH PROGRAMS	IMPACT	QUALITY OF EVIDENCE
100% of studies done in India (n=5)	Maternal Health & ICMI <5 Years Old (n=2)	Positive	Medium
60% focused on rural regions (n=3)	Mental Health (n=2) • Schizophrenia	Overall beneficial impact reported in health provider competency across all studies.	• 60% of tools in pilot stage.
60% tested in primary health settings. (n=3)	Common Mental     Disorders     Substance Abuse	<ul> <li>Improved health literacy</li> <li>Task-shifting away from physicians</li> <li>Improved case identification</li> </ul>	<ul> <li>60% had sample sizes between 10-30. 40% had sample sizes &gt;30.</li> </ul>

### **ASIA: OTHER TOOLS**

# RECORDS (3)

Positive

#### Key Dimensions of Impact Health Outcomes

Ex. an mHealth database used to screen 8686 people for oral cancer in India. Positivity rates were 28% for cervical screening, (which then had a 37% follow-up rate) and 5% for oral cancer screening (which had a 31% follow-up rate).

# PROVIDER-PROVIDER COMMUNICATION (2)



# Key Dimensions of Impact Community Access

Ex. the Health Information Helpline, which offers specialist medical advice to community-based health workers is one of the largest mHealth initiatives in India to date. Over 48 months, the helpline serviced 669,265 calls.

# PROVIDER- PATIENT COMMUNICATION (1)



#### Key Dimensions of Impact Health Outcomes

Ex. control of BP and blood sugar was achieved in 54.0% and 34·1% of individuals with hypertension and diabetes. Blood pressure control rate improved by 12% in hypertensive individuals over the intervention period.

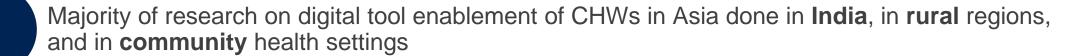


# ASIA: FACILITATORS, BARRIERS, AND GAPS

FACILITATORS	BARRIERS	GAPS
<ul> <li>Reliable Network Connectivity</li> <li>Continuous Training</li> <li>Increased Support</li> <li>Reduced Burden of Work</li> <li>Ease of Technology Use</li> <li>Task-Shifting to CHWs</li> <li>Cost- Effectiveness of Interventions</li> <li>Remote Consultations from Physicians</li> </ul>	<ul> <li>Low Health Literacy</li> <li>Low Technological Literacy</li> <li>Lack of Behavior Change Communication</li> <li>Lack of Government and Systematic Support</li> <li>Lack of Incentive for CHWs</li> <li>Network Connectivity Issues</li> <li>Challenges in Accessing Medications</li> <li>Data Privacy Concerns</li> <li>Fear of Technology Replacing Human Interaction</li> </ul>	<ul> <li>Short Study Durations</li> <li>Small Sample Sizes</li> <li>Limited Generalizability</li> <li>Maturity of Tools</li> <li>Inaccuracies in Self-Reported Health Conditions and Events</li> </ul>



# **ASIA: KEY FINDINGS**



The digital tool landscape of CHWs in LMICs within Asia is largely concentrated in health programs targeting maternal and under- 5 health, followed by NCDs and mental health

Most prominent tool archetypes are **decision support**, **data collection**, and **case management**. Little to no research found on digital tools deployed for supply chain management, supervision of CHWs, or payment of CHWs

Majority of tools studied are in **pilot** stage

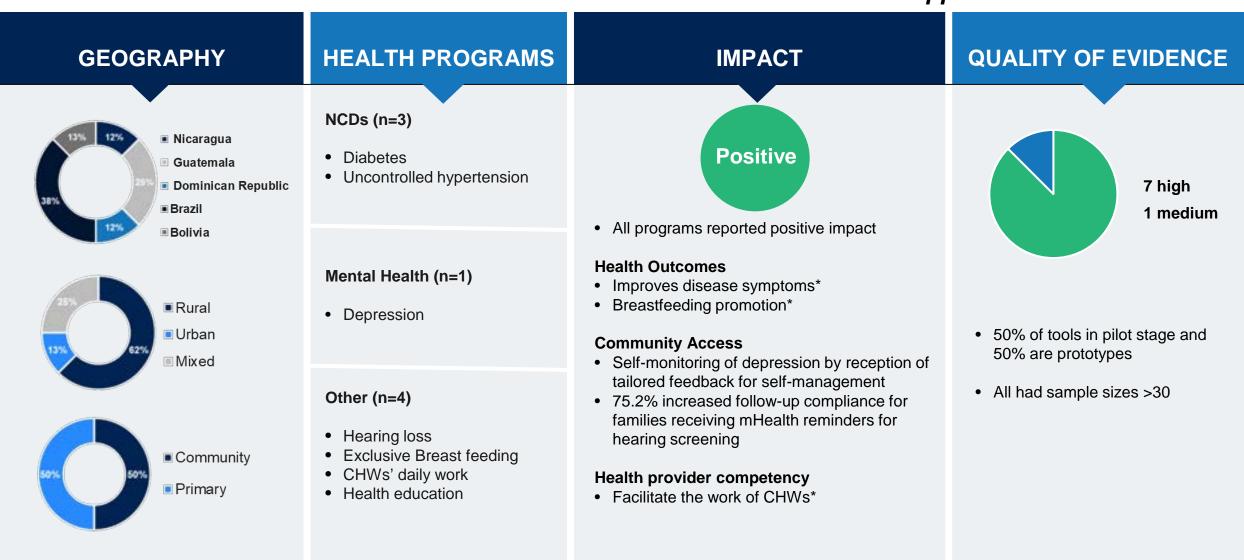
Overall, most studies reported **benefits**, particularly in the impact dimensions of **health provider competency** and **health outcomes**, in implementing digital tools into the practices of CHWs throughout Asia





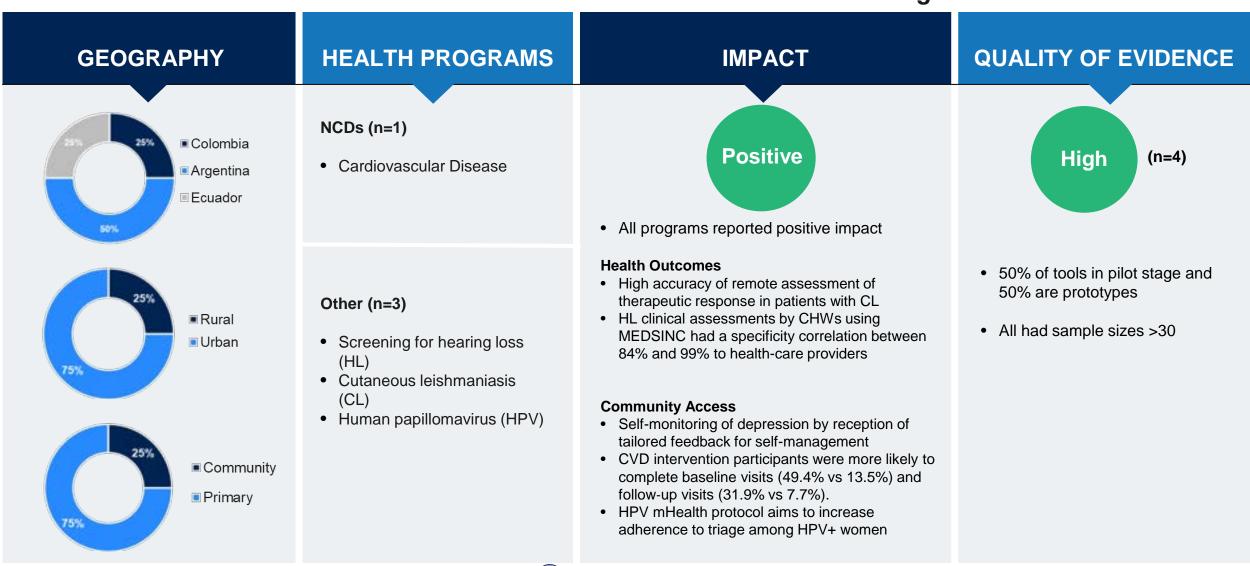
## **LAC: DECISION SUPPORT TOOLS**

8 out of 22 articles in Latin America focused on Decision Support.



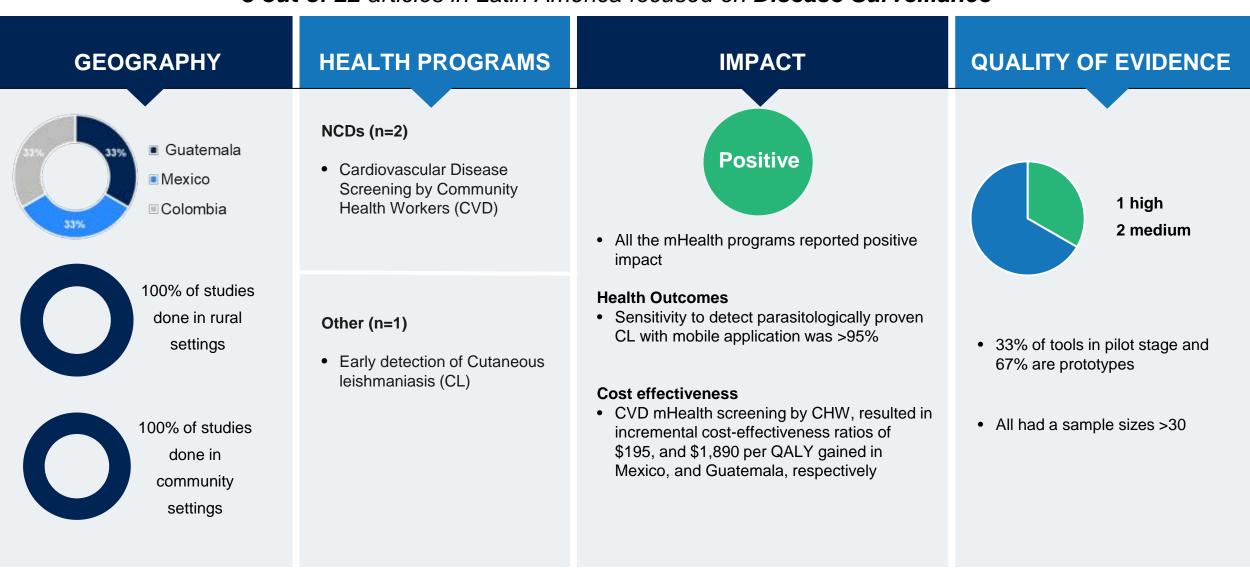
## LAC: CASE MANAGEMENT TOOLS

4 out of 22 articles in Latin America focused on Case Management



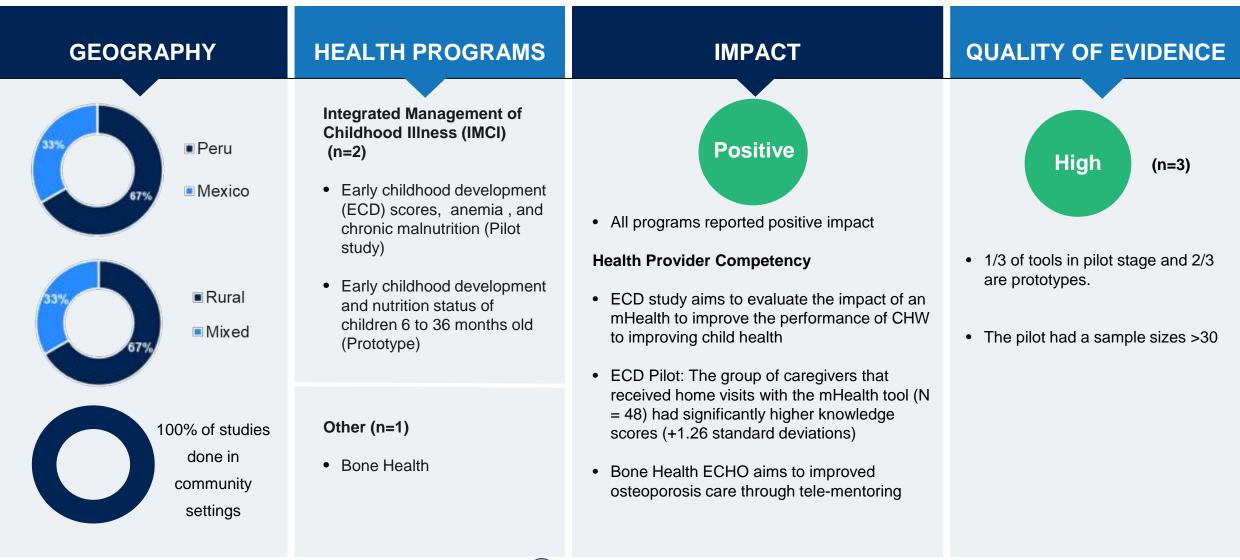
## LAC: DISEASE SURVEILLANCE TOOLS

3 out of 22 articles in Latin America focused on Disease Surveillance



## **LAC: TRAINING TOOLS**

3 out of 22 articles in Latin America focused on Training



## **LAC: OTHER TOOLS**

## **Provider- Patient Communication**

## **Provider- Provider Communication**

## **Data Collection**



## **Community Access**

- Satisfaction survey in 2014
   (n=571) showed that
   teleconsultations avoided patient referral by 78%.
- The study showed the potential of telehealth to provide support to primary care practitioners in remote cities.



### **Community Access**

- Health decision-makers and health-care providers had a positive perception regarding implementation of the multicomponent mHealth intervention designed to increase adherence to triage among women with HPV self collected tests.
- This increases the potential for a successful scaling-up of the intervention, with great implications not using mHealth interventions to enhance the cervical screening/follow-up/treatment process.





#### **Health outcomes**

 This study estimated that a group of seven trained CHWs could gather formal audiologic and otologic data points for 100 children per hour using a mobile platform, facilitate early diagnosis and management of disabling hearing loss in lowresourced settings.

# LAC: FACILITATORS, BARRIERS, AND GAPS

FACILITATORS	BARRIERS	GAPS
<ul> <li>Training and Education</li> <li>Affordable and Robust Technology</li> <li>Data Privacy Measures</li> <li>Community Engagement</li> <li>Technical Support</li> </ul>	<ul> <li>Perceived cost and perceived loss of privacy related to use</li> <li>Technical barrier in terms of:         <ul> <li>inefficiency</li> <li>signal</li> <li>device</li> </ul> </li> <li>Social barrier in terms of:         <ul> <li>community member perceptions</li> <li>safety</li> </ul> </li> <li>Digital illiteracy</li> </ul>	<ul> <li>Limited information on tools that focus on supply chain monitor, supervisor/management tool and payments/incentives.</li> <li>Insufficient information on cultural and contextual factors that may influence the acceptance and adoption of digital tools.</li> </ul>

# **LAC: KEY FINDINGS**



The digital tool landscape of CHWs in LAC is largely concentrated in health programs targeting **non-communicable diseases** including diabetes and hypertension.

Most prominent tool archetypes are **decision support**, **case management**, **disease surveillance** and **training**. Little to no research found on digital tools deployed for supply chain management, supervision of CHWs, or payment of CHWs.

Majority of tools studied are in **pilot** stage.

Overall, most studies reported **benefits**, particularly in the impact dimensions of **health provider competency** and **health outcomes**, in implementing digital tools into the practices of CHWs throughout LAC.





# **OVERALL KEY FINDINGS**

Majority of research concentrated in countries with an existing strong digital infrastructure, such as Kenya, India, Brazil, and South Africa.

04

Measurement of impact of the digital tools is limited by barriers to the digital infrastructure of where the tool is being implemented.

Across all geographies, the impact of digital tools were anecdotal, most tools were in pilot stage, and the quality of evidence is low and widely assessed based on their impact on health outcomes within the population.

Across regions and tool archetypes, 68 reported positive impact, 22 reported no impact and only 1 tool reported negative impact.





# LIMITATIONS & OPPORTUNITIES FOR FURTHER RESEARCH







Research on the source of articles or tools, e.g. NGOs, government, Universities, etc.

More research that quantifies the impact of digital tool deployment in LMIC



# **WHERE TO INVEST?**



Invest in **decision support**, **case management**, **training** and **data collection** tools, as they have shown to have positive impacts across different health programs and all geographies.



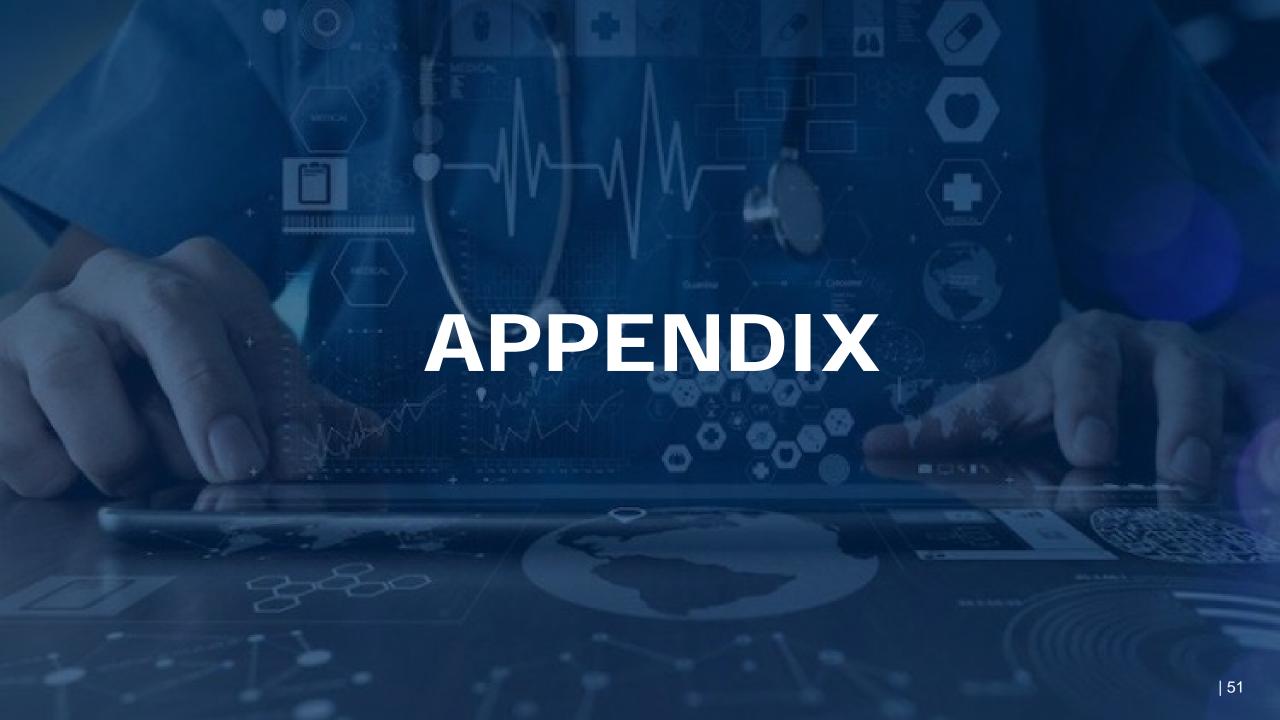
Invest in digital tool capacity-building initiatives and research efforts in countries with emerging or underdeveloped digital infrastructure, e.g., Madagascar, Rwanda, Cambodia, Myanmar, Honduras, El Salvador.



Since most of the evidence was anecdotal, invest in research that relies on rigorous scientific methods, systematic data collection, and larger sample sizes to obtain reliable and comprehensive insights in key geographic areas.







# SEARCH STRINGS USING THE PCC FRAMEWORK

	CARCIT STRINGS COINS THE LCC LIRAMEWORK
Search #	Search Texts and Syntaxes Results
#1 (Participants)	"community health worker*" OR "CHWs" OR "Primary healthcare worker*" OR "Primary care provider*" OR "Primary care practitioner*" OR "lay health worker*" OR "lay health worker*" OR "Community health promoter*" OR "Community health representative*" OR "Community health volunteer*" OR "Community health representative "OR "Community health volunteer*"
#2 (Concept)	"Digital tool" OR "digital health tool" OR "Digital enablement" OR "Digital support" OR "mHealth" OR "mobile health" OR "eHealth" OR "Telehealth" OR "Digital health" OR "Health information systems" OR "digital technology" OR "mobile technology" OR "Health technology" OR "Health workforce"
#3 (Africa)	Africa OR African OR Algeria OR Angola OR Benin OR Botswana OR Burkina Faso OR Burundi OR Cameroon OR "Canary Islands" OR "Cape Verde" OR "Central African Republic" OR Chad OR Comoros OR Congo OR "Democratic Republic of Congo" OR Djibouti OR Egypt OR Eritrea OR Eswatini OR Ethiopia OR Gabon OR Gambia OR Ghana OR Guinea OR "Ivory Coast" OR "Cote d'Ivoire" OR Jamahiriya OR Kenya OR Lesotho OR Liberia OR Libya OR Madagascar OR Malawi OR Mali OR Mauritania OR Mauritius OR Mayotte OR Morocco OR Mozambique OR Namibia OR Niger OR Nigeria OR Principe OR Reunion OR Rwanda OR "Sao Tome" OR Senegal OR Seychelles OR "Sierra Leone" OR Somalia OR "St Helena" OR "sub-Saharan Africa" OR Sudan OR Swaziland OR Tanzania OR Togo OR Tunisia OR Uganda OR "Western Sahara" OR Zaire OR Zambia OR Zimbabwe OR Egypt OR Morocco OR Tunisia
#4 (Asia)	Kazakhstan OR Kyrgyzstan OR Tajikistan OR Turkmenistan OR Uzbekistan OR "Eastern Asia" OR "China" OR "Democratic People's Republic of Korea" OR "North Korea" OR Mongolia OR Cambodia OR Indonesia OR "Lao People's Democratic Republic" OR Malaysia OR Myanmar OR Philippines OR Thailand OR "Timor-Leste" OR "Viet Nam" OR Afghanistan OR Bangladesh OR Bhutan OR India OR Iran OR Maldives OR Nepal OR Pakistan OR "Sri Lanka" OR Armenia OR Azerbaijan OR Georgia OR Iraq OR Jordan OR Lebanon OR "State of Palestine" OR "Syrian Arab Republic" OR Türkiye OR Turkey OR Yemen
#5 (Europe)	Belarus OR Bulgaria OR "Republic of Moldova" OR "Romania" OR Ukraine OR Albania OR Bosnia OR Herzegovina OR Montenegro OR "North Macedonia" OR Serbia
#6 (Latin America and the Caribbean)	Cuba OR "Dominican Republic" OR Grenada OR Haiti OR Jamaica OR "Saint Lucia" OR "Saint Vincent and the Grenadines" OR Belize OR "Costa Rica" OR "El Salvador" OR Guatemala OR Honduras OR Mexico OR Nicaragua OR Argentina OR Bolivia OR Brazil OR Colombia OR Ecuador OR Guyana OR Paraguay OR Peru OR Suriname OR Venezuela
#7 (Oceania)	Fiji OR "Papua New Guinea" OR "Solomon Islands" OR Vanuatu OR Kiribati OR Micronesia OR Samoa OR Tonga
#8 #9	#1 AND #2 AND #3 #1 AND #2 AND #4

#11

#1 AND #2 AND #6

## FRAMEWORKS FOR ASSESSING DIGITAL TOOL

Frameworks	Function	Advantages	Limitations
The Digital Health     Assessment Framework     (DHAF)	<ul> <li>Assesses digital health technologies, including mobile apps and web-based tools used by healthcare providers and consumers.</li> <li>The Framework includes components to assess privacy and security, clinical assurance and safety, and usability and accessibility and Technical Security and Stability.</li> </ul>	<ul> <li>Open, objective framework, accessible for anyone to use.</li> <li>Support the adoption of high-quality digital health technologies.         Help healthcare professionals and consumers make better-informed decisions.     </li> </ul>	The framework was crafted to support U.Sspecific guidelines, regulations and best practices for digital health technologies.
The Performance of Routine Information System Management (PRISM)	Assesses health information systems (HIS) performance, considering technical, organizational, and behavioral factors.	The application of the PRISM framework and its tools in various countries has shown that they produce consistent and valid results.	Needs additional skills and its time consuming
<ul> <li>mHealth Assessment and Planning for Scale (MAPS) Toolkit</li> </ul>	Comprehensively assesses and plan the scale-up of mHealth interventions in LMICs. It covers six major areas: Groundwork, Partnerships, Financial health, Technology & architecture, Operations, and Monitoring & evaluation, providing a baseline assessment, guiding progress tracking, and enabling adjustments throughout the scaling-up process.	Its holistic framework ensures that all relevant dimensions, such as user needs, technology, operations, and monitoring and evaluation, are considered.	Its comprehensiveness can lead to complexity, requiring substantial resources and expertise for full implementation.
The American Psychiatric Association's app (APA) evaluation model	<ul> <li>Assesses mobile health apps by considering accessibility, privacy and security, clinical foundation, engagement, and interoperability. The five levels of the APA framework are: (1) Background and access, (2) Data safety and privacy, (3) App effectiveness and clinical foundation, (4) User engagement, (5) Data integration towards therapeutic alliance.</li> </ul>	The framework is flexible to allow clinicians and providers to tailor app recommendations to their specific needs.	The framework is flexible to allow clinicians and providers to tailor app recommendations to their specific needs.

## FRAMEWORKS FOR ASSESSING DIGITAL TOOL

Frameworks	Function	Advantages	Limitations
MARS (Mobile App Rating Scale)	The framework is a widely used tool for assessing and evaluating mobile health (mHealth) applications or digital health tools. The components of the MARS framework include engagement, functionality, aesthetics, information quality, App subjective quality	It provides a structured approach and a comprehensive assessment of various aspects of mobile apps, ensuring a thorough evaluation.	MARS framework primarily focuses on the user perspective and may not capture all aspects of clinical effectiveness or impact.
System Usability Scale framework for assessing digital health tools (SUS)	Widely used framework for assessing the usability of various systems, including digital health tools. SUS provides a standardized questionnaire-based approach to measure users' perceived usability of a system.	The SUS framework is known for its simplicity, efficiency, and ease of administration.	It primarily focuses on user perceptions and subjective assessment.
Task, User,     Representation and     Function (TURF)	TURF stands for task, user, representation, and function, which are the four components that determine the usability of an Electronic Health Record system.	Comprehensive evaluation approach, promoting user-centric design, effective task support, and clarity in information presentation.	Has complex evaluation process, subjective nature, potential lack of explicit interplay between components, and the need for adaptation to specific contexts or domains.
<ul> <li>The Digital Health for CHWs Maturity Model and Toolkit</li> </ul>	Useful in assessing, planning and implementing digital health services.	Can be applied globally	
	STAR*	T CENTER	54

